## Software Heritage: a new infrastructure for Open Source and **Open Science**

#### Roberto Di Cosmo Conference at EPITA

Director, Software Heritage Inria and Université de Paris Cité

October 21st 2022



- Introduction



#### Short Bio: Roberto Di Cosmo

Computer Science professor in Paris, now working at INRIA

- 30+ years of research (Theor. CS, Programming, Software Engineering, Erdos #: 3)
- 20+ years of Free and Open Source Software
- 10+ years building and directing structures for the common good



DemoLinux - first live GNU/Linux distro

2007 Free Software Thematic Group 150 members 40 projects 200Me

2008 Mancoosi project www.mancoosi.org

2010 IRILL www.irill.org

2015 Software Heritage at INRIA

2018 National Committee for Open Science, France

2021 EOSC Task Force on Infrastructures for Software. **European Union** 

- 2 Software, Open Science and Open Source



## Why Open Science?

#### Open Science (Second National Plan for Open Science, France, 2021)

Unhindered dissemination of results, methods and products from scientific research. It draws on the opportunity provided by recent digital progress to develop open access to publications and – as much as possible – data, source code and research methods.

#### Jean-Eric Paquet (EU DGRI, on the objective of Open Science)

"Increase scientific quality, the pace of discovery and technological development, as well as societal trust in science."

### Mariya Gabriel (EU Commissionneer for Research)

The COVID-19 crisis has also shown that cooperation at international level in research and innovation is more important than ever, including through open access to data and results. No nation, no country can tackle any of these global challenges alone.

#### Yuval Noah Harari (on COVID 19)

"The real antidote [to epidemic] is scientific knowledge and global cooperation."

## Two well known pillars of Open Science

#### Open Access (a long, painful, unfinished story)

- 19XX's compulsory exclusive copyright transfer to publishers (unlawful?) (notable exceptions: US federal agencies and UK Crown Copyright)
- 1990's Internet, Web and ArXiv break the marriage of convenience of researchers with publishers
- 2000's declarations (Budapest, 2001; Berlin 7, 2009) and actions (LIPIcs, 2009)
- 2010's reactions (SciHub, 2011; Plan S, 2018) and transformations (not so easy)

TL;DR: see my viewpoint in 2005 and the SIGPLAN blog in 2020

#### Open Data (less painful, but still unfinished story)

- 1957-1958: International Geophysical Year shows the way
- 2006 (and 2021): OECD recommendation on publicly funded research data
- 2016 and later: FAIR terminology (focus on metadata, sort of forgets open...)

## Software: the third pillar of Open Science

#### Software powers modern research



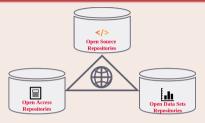
[...] software [...] essential in their fields.

Top 100 papers (Nature, 2014)

Sometimes, if you dont have the software, you dont have the data

Christine Borgman, Paris, 2018

## A key pillar: software (source code)



The links in the picture are important

#### Nota Bene

software may be a tool, a research outcome and a research object

access to the *source code* is essential!

Preserving (the history of) source code is necessary for *reproducibility* 

## Software Source Code is Precious Knowledge

#### Harold Abelson, Structure and Interpretation of Computer Programs (1st ed.)

1985

"Programs must be written for people to read, and only incidentally for machines to execute."

#### Apollo 11 source code (excerpt)

```
P63SP0T3
                        BIT6
                                         # IS THE LR ANTENNA IN POSITION 1 YET
                EXTEND
                RAND
                        CHAN33
                EXTEND
                BZE
                        P63SP0T4
                                         # BRANCH IF ANTENNA ALREADY IN POSITION 1
                CAF
                        CODE500
                                         # ASTRONAUT:
                                                         PLEASE CRANK THE
                TC
                        BANKCALL
                                                         SILLY THING AROUND
                CADR
                        GOPERF1
                TCE
                        ботороон
                                         # TERMINATE
                TCE
                        D63SD0T3
                                         # PROCEED
                                                         SEE TE HE'S LYING
P63SP0T4
                TC
                        BANKCALL
                                         # ENTER
                                                         INITIALIZE LANDING RADAR
                CADR
                        SETPOS1
                TC
                                         # OFF TO SEE THE WIZARD ...
                        POSTJUMP
                CADR
                        BURNBABY
```

#### Quake III source code (excerpt)

```
float Q rsqrt( float number )
    long i:
    float x2. v:
    const float threehalfs = 1.5E:
    x2 = number * 0.5F:
    v = number:
    i = * ( long * ) &y; // evil floating point bit level hacking
    i = 0x5f3759df - (i >> 1): // what the fuck?
    v = * ( float * ) &i:
    v = v * (threehalfs - (x2 * v * v)): // 1st iteration
// v = v * (threehalfs - (x2 * y * y)); // 2nd iteration, this
can be removed
    return v:
```

#### Len Shustek, Computer History Museum

2006

"Source code provides a view into the mind of the designer."

## Free and Open Source Software

#### Software that offers to its users the freedom to:

- use the software
- study and adapt the software
- distribute software copies
- distribute modified copies

#### Free Software has changed the way software is:

- developed
- tested
- deployed

- maintained
- marketed
- sold

- designed
- taught

## Open Source vs. Free Software

#### Phylosophy

free software



Richard Stallman

focus on user freedom



open source

Bruce Perenes/Eric Raymond

focus on software development and reuse Open Source Definition in 10 points

#### A long story

- formalised since the late '80s
- existed long before

#### Licence spectrum

copylefted GPL/LGPL, etc.

non copylefted BSD/MIT, etc.

- 3 An emerging policy framework



## International highlights

#### Paris Call on Software Source code (2019, UNESCO)



40 international experts call to "promote software development as a valuable research activity, and research software as a key enabler for Open Science/Open Research, [...] recognising in the careers of academics their contributions to high quality software development, in all their forms"

#### UNESCO recommendations for Open Science, 2018-2021

"The source code must be included in the software release and  $[\dots]$  the license must allow modifications, derivative works and sharing [...]" "Open science infrastructures should be  $[\dots]$  essentially not-for-profit and long-term"

## **EOSC SIRS report:** Software Source Code and Open Science, 2020



- connect scholarly ecosystem via Software Heritage
- use open non profit infrastructures
- open source first: "all research software should be made available under an Open Source license by default"

## French National plan for Open Science, 2021-2024



Egalité Frateralté



#### SECOND FRENCH PLAN FOR OPEN SCIENCE

Generalising open science in France 2021-2024





Launch on 6 July 2021 by Frédérique Vidal, Minister for Higher Education Research and Innovation

- Multiplying the levers for change in order to generalise open science practices
- Structuring the policy for opening up or sharing research
- New commitments to the opening of source code produced by research
- European and international inclusion in the context of the French Presidency of the European Union
- Disciplinary and thematic variations: open science policies must be adapted to disciplinary specificities



Path Three :

#### Opening up and promoting source code produced by research



Highlight the production of source code from higher education research and innovation



« The opening of software source code is a major challenge for the reproductibility of scientific results w

« Distribution of software products under open source licence will be preferred. »



#### Define and promote an open source software policy

- \* Produce a National Charter for Open Source Software coming from higher education research and innovation
- \* Develop the link between data and software through a network of Chief Data Officers in the
- various universities and research performing organisations. \* Develop the economic models of open source software and make them known within
- commercialization services Support Software Heritage and recommend it for the archiving and referencing of source code

#### Recognise source code as a contribution to research

- Create an open source research software prize
- · Provide greater recognition for software production in the career of researchers, research support staff

#### Build an ecosystem that connects code, data and publications

 Develop proper coordination between software forges, open publication archives, data repositories and the scientific publishing sector.

## Research Software is getting recognized



Acqueil > Recherche > Science ouverte

Publié le 05.02.2022

#### Sommaire

- The Cog proof assistant : lauréat de la catégorie Scientifique et technique
- Scikit-learn : lauréat de la catégorie Communauté
- Faust : lauréat de la catégorie Documentation
- Gammapy : prix du jury
- lury

# Remise des prix science ouverte du logiciel libre de la recherche

Le ministère de l'Enseignement supérieur, de la Recherche et de l'Innovation remet pour la première année les Prix science ouverte du logiciel libre de la recherche. Dix logiciels mis au point par des équipes françaises sont récompensés pour leur contribution à l'avancée de la connaissance scientifique.

- Towards implementation of a software pillar



### What is at stake

#### ARDC

- Archive for retrieval (reproducibility)
- Reference for identification (reproducibility)
- Describe for discovery and reuse
- Cite/Credit for credit and evaluation

#### Before ARDC

- Development practices and tools (VCS, build system, test suites, CI, ...)
- Opening up towards a community (documentation, organization, communication)

Need training, best practices

## Beyond ARDC

- Policies (dissemination, reuse, careers!)
- Sustainability (legal, economic etc.)
- Technology transfer
- Advanced technologies and tools (quality, traceability, etc.)

let's focus on infrastructures for ARDC

## Approaches to preservation

#### A - Since the <del>1970's</del> 1990's

.zip or .tar file on:

- ftp server
- web page
- document archive (+ DOI)

#### B - Since the 2000's

Rely on software forges

- institutional or project ones
- free commercial ones: BitBucket, GitHub, GitLab, ...

#### C: a mix of the two



#### Can get no satisfaction...

- A Poor user experience
- **B** Preservation?
- C Can do better

## Forges are *not* archives!

#### 2015: the first big bad news

Google Code and Gitorious.org shutdown: ~1M endangered repositories

• broken links in the web of knowledge (my papers too)

#### Big bad news keep coming in

- summer 2019: BitBucket announces Mercurial VCS sunset
- july 2020: BitBucket erases 250.000+ repositories (including research software)
- summer 2022: GitLab.com considers erasing all projects that are inactive for a year

#### In Academia too!

• 2021: Inria's old gforge is unplugged... breaks the Opam build chain for OCaml

We need a universal archive of software source code: now we have one!

- Software Heritage for Open Science





Collect, preserve and share *all* software source code

Preserving our heritage, enabling better software and better science for all

## Reference catalog



find and reference all software source code

### Universal archive



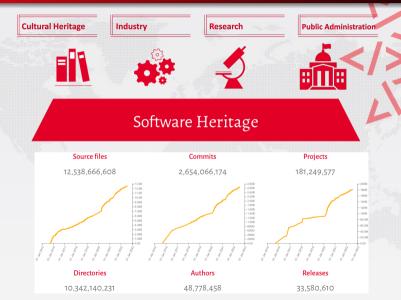
preserve all software source code

### Research infrastructure



enable analysis of all software source code

## The largest software archive, a shared infrastructure



## An international, non profit initiative

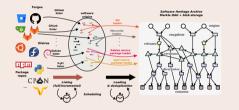




Software Heritage revolutionary infrastructure

## Addressing the four ARDC needs (see ICMS 2020 for details)

#### Archive (12B+ files, 180M+ projects)



- save.softwareheritage.org
- deposit.softwareheritage.org

#### Reference (25 billion SWHIDs)

Intrinsic, decentralised, cryptographically strong identifiers, SWHIDs



Now supported in SPDX 2.2, Wikidata etc.

#### Describe

- Intrinsic metadata from source code
- Contributed the Codemeta generator

#### Cite/Credit

• Contributed *software citation* style biblatex-software, v 1.2-2 now on CTAN

- 6 Demo time!



## A walkthrough

- Browse (e.g. Apollo 11, and your work may be already there!)
- Trigger archival, use the updateswh browser extension (GitHub action available too)
- Get and use SWHIDs (full specification available online)
- Cite software with biblatex-software package from CTAN
  - Overleaf ACMART template available
- Example in journals: article from IPOL
- Example with Parmap: devel on Github, archive in SWH, curated deposit in HAL
- Extracting all the software products for Inria, for CNRS, for CNES, for LIRMM or for Rémi Gribonval using HalTools
- Curated deposit in SWH via HAL, see for example: LinBox, SLALOM, Givaro, NS2DDV, SumGra, Cog proof, ...
- Example use in research articles:
  - compare Fig. 1 and conclusions in the 2012 version and the updated version
  - SWHID in a replication experiment

## Growing adoption of SWH in Academia (selection)

## HAL software curated deposit workflow

Curated Archiving of Research Software Artifacts International Journal of Digical Curation, 2020

#### Reference archive for swmath.org



SwMATH See *code* links, e.g. SemiPar package

## IPOL (image processing)



- archive (deposit)
- reference
- BibLaTeX

#### eLife (life sciences)



- archive (save code now)
- reference

### JTCAM (mechanics)

- instructions for authors
- biblatex-software in journal LTFX class

#### Policy: France



Plan National for Open Science Research and *Infrastructures* 

#### Policy: Europe



EOSC SIRS report

- SWHIDs
- archive

#### Guidelines



- summary
- ICMS 2020

- Software Heritage for Open Source



## Open Source is growing...

#### Software is eating the world

# THE WALL STREET JOHRNAL Why Software Is Eating The World

By Marc Andreessen August 20, 2011

This week, Hewlett-Packard (where I am on the board) announced that it is exploring jettisoning its struggling PC business in favor of investing more heavily in software, where

it sees better potential for growth. Meanwhile, Google plans to buy up the cellphone

Software companies outperform or buy out traditional companies

Marc Andreesen, 2011

#### Open Source is eating the Software World



#### Reuse is the new rule

80% to 90% of a new application is ... just reuse!

(Sonatype survey, 2017)

## Improving Security and Transparency for Open Source

#### Where does reused software come from?



#### Do *you* know where it comes from?

- the software you ship
- the software you use
- the software you acquire
- the software that
  - has that bug
  - has that vulnerability

#### KYSW: Know Your SoftWare



Like KYC in banking, KYSW is now essential all over IT...

#### Sec. 4. Enhancing Software Supply Chain Security

ensuring and attesting, to the extent practicable, to the integrity and provenance of open source software

May 2021 POTUS Executive Order

## A revolutionary infrastructure for industry

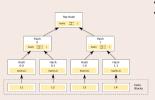
### The graph of Software Development



All of the software development in a single graph!

- lookup by content hash
- wayback machine for software development
  - http://archive.softwareheritage.org/
- ... and much more

#### The blockchain of Software Development

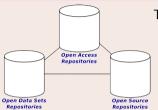


All of a software development... in a single Merkle graph! Widely used crypto (e.g., Git, blockchains, IPFS, ...)

- built-in deduplication
- intrinsic, unforgeable identifiers at all levels
- simplifies traceability (licensing, supply chain management)

## A revolutionary infrastructure for science

### A pillar of Open Science



The reference archive of Research Software for Open Science

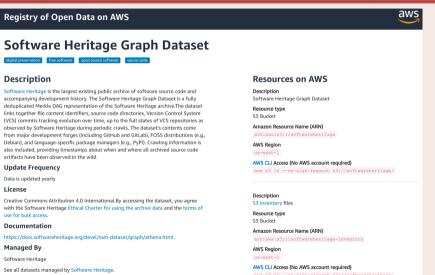
- curated deposit of research software
  - in collaboration with HAL, CCSD and Inria IES
  - now open to all researchers!
- intrinsic identifiers for reproducibility

#### Reference platform for *Big Code*



- unique observatory of all software development
- big data, machine learning paradise: classification, trends, coding patterns, code completion...

## The full graph in the AWS Open Data collection



## A peek at the dataset

#### Accessing graph leaves (a.k.a. contents)

```
$ aws s3 ls --no-sign-request s3://softwareheritage/
       PRE content/
       PRE graph/
```

#### File contents can be accessed using their SHA1 checksum

```
$ aws s3 cp --no-sign-request \
 s3://softwareheritage/content/8624bcdae55baeef00cd11d5dfcfa60f68710a02 .
```

#### Notice that file contents are compressed:

\$ zcat 8624bcdae55baeef00cd11d5dfcfa60f68710a02 GNU GENERAL PUBLIC LICENSE Version 3, 29 June 2007

Copyright (C) 2007 Free Software Foundation, Inc. <a href="http://fsf.org/">http://fsf.org/</a> Everyone is permitted to copy and distribute verbatim copies of this license document, but changing it is not allowed.

## A peek at the dataset, cont'd

#### Annual dumps of (inner nodes of) the full graph

```
$ aws s3 ls --no-sign-request s3://softwareheritage/graph/
    PRE 2018-09-25/
                                                           PRE 2021-03-23-cpvthon-3-5/
    PRE 2019-01-28-popular-3k-python/
                                                           PRE 2021-03-23-popular-3k-python/
    PRE 2019-01-28-popular-4k/
                                                           PRE 2021-03-23/
    PRE 2020-05-20/
                                                           PRE 2022-04-25/
    PRE 2020-12-15/
```

#### How to use (there is much more, e.g. swh-graph!) and cite

- online full documentation, and read Antoine Pietri's PhD Thesis
- Antoine Pietri, Diomidis Spinellis, Stefano Zacchiroli. The Software Heritage Graph *Dataset: Public software development under one roof.* MSR 2019. (bibtex)

#### A game changer for ESE studies

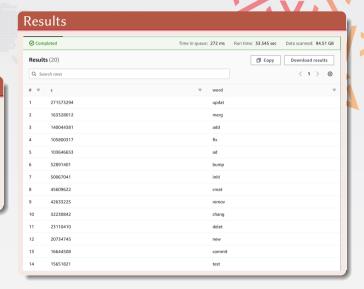
- broad variety of sources (reduce GH bias) in one open dataset
- one reference standard data format (VCS are abstracted away)
- greatly simplifies reproducibility packages (just list the SWHIDs!)

## Example: most popular commit verbs (stemmed)

#### Query using Amazon Athena

```
SELECT COUNT(*) AS C, word FROM (
   SELECT word stem(lower(split part(
    trim(from_utf8(message)), ', 1)))
   AS word FROM revision
   WHERE length(message) < 1000000)
WHERE word != ',
GROUP BY word
ORDER BY C
DESC LIMIT 20:
```

Total cost: approximately .5 euros



- Efficient traversal of the full graph



## Going beyond SQL

### State-of-the-art graph compression from social networks



Paolo Boldi, Antoine Pietri, Sebastiano Vigna, Stefano Zacchiroli Ultra-Large-Scale Repository Analysis via Graph Compression

SANER 2020, 27th Intl. Conf. on Software Analysis, Evolution and Reengineering, IEEE

#### Results

Full graph structure (25 B nodes, 350 B edges) in 200 GiB RAM

- traversal time is tens of ns per edge
- bidirectional traversals implemented
- beware: metadata access is still off RAM

### Java and gRPC APIs available

docs.softwareheritage.org/devel/swh-graph/grpc-api.html

#### Find all origins containing a given content

```
grpc cli call localhost:50091 swh.graph.TraversalService.Traverse "\
src: 'swh:1:cnt:8722d84d658e5e11519b807abb5c05bfbfc531f0', direction: BACKWARD,
mask: {paths: ['swhid','ori.url']}, return_nodes: {types: 'ori'}"
```

Gives a list of origins including "https://github.com/rdicosmo/parmap", encoded as swh:1:ori:8903a90cff8f07159be7aed69f19d66d33db3f86" (beware: this is not a SWHID!)

### Shortest provenance path of a content in a given origin

```
grpc cli call localhost:50091 swh.graph.TraversalService.FindPathBetween "\
src: 'swh:1:ori:8903a90cff8f07159be7aed69f19d66d33db3f86', \
dst: 'swh:1:cnt:8722d84d658e5e11519b807abb5c05bfbfc531f0'. \
mask: {paths: ['swhid']}" | egrep 'swhid'
connecting to localhost:50091
  swhid: "swh:1:ori:8903a90cff8f07159be7aed69f19d66d33db3f86"
  swhid: "swh:1:snp:1527a93b039d70f6a781b05d76b77c6209912887"
  swhid: "swh:1:rev:82df563aecf86b9164eee7d10d40f2d8cbd1c78d"
  swhid: "swh:1:dir:484db39bb2825886191837bb0960b7450f9099bb"
  swhid: "swh:1:dir:4d15e44b378fe39dd23817abee756cd47ad14575"
  swhid: "swh:1:cnt:8722d84d658e5e11519b807abb5c05bfbfc531f0"
Rpc succeeded with OK status
```

## Outline

- Examples



# Selected research works using Software Heritage

Thibault Allançon, Antoine Pietri, Stefano Zacchiroli The Software Heritage Filesystem (SwhFS): Integrating Source Code Archival with Development.

ICSE 2021: The 43rd International Conference on Software Engineering https://arxiv.org/abs/2102.06390

Stefano Zacchiroli

Gender Differences in Public Code Contributions: a 50-year Perspective IEEE Softw. 38(2): 45-50 (2021)

Antoine Pietri, Guillaume Rousseau, Stefano Zacchiroli Forking Without Clicking: on How to Identify Software Repository Forks MSR 2020: 17th Intl. Conf. on Mining Software Repositories. IEEE

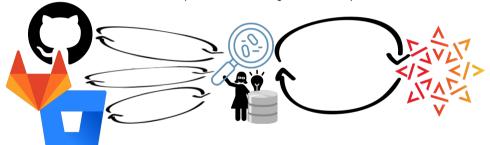
Antoine Pietri, Guillaume Rousseau, Stefano Zacchiroli Determining the Intrinsic Structure of Public Software Development History MSR 2020: 17th Intl. Conf. on Mining Software Repositories, IEEE

Paolo Boldi, Antoine Pietri, Sebastiano Vigna, Stefano Zacchiroli Ultra-Large-Scale Repository Analysis via Graph Compression SANER 2020, 27th Intl. Conf. on Software Analysis, Evolution and Reengineering, IEEE

Roberto Di Cosmo, Guillaume Rousseau, Stefano Zacchiroli Software Provenance Tracking at the Scale of Public Source Code

## Mining Android Applications on Software Heritage

RQ: how to build a specific dataset for a given research question?



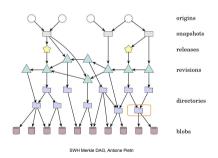
- Specific and limited API
- Hardly reproducible

- Generic, single, fine-grained and unlimited API
- Growing number of source codes
- Easy to update the dataset

(from the Inria/IRISA DiverSE team)

# Using the SWH merkle dag to identify android repositories

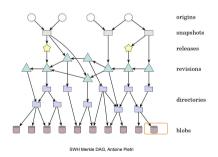
Identify android application repositories = Find the AndroidManifest.xml among the sources



1) Iterate over the graph nodes until you find a directory node containing a file named "AndroidManifest.xml".

# Using the SWH merkle dag to identify android repositories

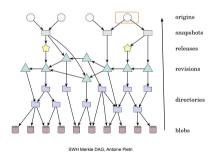
Identify android application repositories = Find the AndroidManifest.xml among the sources



2) Extract the SWH identifier of the blob corresponding to the AndroidManifest xml and download the corresponding file through the SWH Web API

# Using the SWH merkle dag to identify android repositories

Identify android application repositories = Find the AndroidManifest.xml among the sources



3) Traverse the graph in backward direction to the origin node and get the repository url

### **Bottomline**

#### Broad variety of sources in one open dataset

reduces usual GH bias

### Reference simple standard data format

VCS and forge details are abstracted away

### Simplifies reproducibility packages

no need to create a full copy, just list the SWHIDs!

### Software Heritage does the heavy lifting for you

(CC-BY 4.0)

no need to scrape/download repositories all over again

## Outline

- Actions



# A rally flag for a grand vision

#### Bring together academia, industry, governments, communities

"to build a reference, global infrastructure for open and better software"

#### Software Heritage is the first brick ...

- vendor neutral
- open source
- a worldwide initiative
- a long term initiative

#### ... that will enable

- archival, reference, integrity
- qualification, sharing and reuse
- a global software knowledge base
- test and deploy world class tooling

#### A lot more is needed

Software Heritage can be the *catalyser* of a way bigger undertaking

# You can help

#### adopt use SWH in your work

- archive (research) software in SWH
- reference it using the SWHID identifiers

save relevant source code

contribute it's open source!

advocate spread the word, become and ambassador

research tackle scientific challenges

building SWH graph queries, efficient storage, distributed archival, classification, search, ...

using SWH the Software Heritage graph dataset

## Outline

- Conclusion



### Join the revolution!

www.softwareheritage.org

@swheritag

### Library of Alexandria of code



- recover the past
- structure the future

#### A CERN for Software



- build better software
  - for industry
  - for society as a whole

- Jean-François Abramatic, Roberto Di Cosmo, Stefano Zacchiroli Building the Universal Archive of Source Code Communications of the ACM, October 2018
- Roberto Di Cosmo, Morane Gruenpeter, Stefano Zacchiroli Identifiers for Digital Objects: the Case of Software Source Code Preservation iPRES 2018: Intl. Conf. on Digital Preservation